

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 4

In the Matter of:

Metro Utility Department

Respondent.

Docket No. CWA-04-2020-0312(b)

CONSENT AGREEMENT

I. NATURE OF ACTION

1. This is an administrative penalty assessment proceeding brought under Section 309(g)(2)(A) of the Clean Water Act, 33 U.S.C. § 1319(g)(2) (A) , (CWA or the Act) and Sections 22.13(b) and 22.18 of the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits (Consolidated Rules), as codified at Title 40 of the Code of Federal Regulations (C.F.R.), Part 22.
2. This Consent Agreement and the attached Final Order shall collectively be referred to as the CAFO.
3. Having found that settlement is consistent with the provisions and objectives of the Act and applicable regulations, the Parties have agreed to settle this action pursuant to 40 C.F.R. § 22.18 and consent to the entry of this CAFO without adjudication of any issues of law or fact herein.

II. PARTIES

4. Complainant is the Chief of the Water Enforcement Branch of the United States Environmental Protection Agency (EPA), Region 4, who has been delegated the authority on behalf of the Administrator of the EPA to enter into this CAFO pursuant to 40 C.F.R. Part 22 and Section 309(g)(2)(A) of the CWA.
5. Respondent is Metro Utility Department (Respondent), a municipality existing under the laws of the State of Tennessee doing business in the State of Tennessee. This proceeding pertains to Respondent's facility located at Lynchburg, Moore County, Tennessee (Facility).

III. GOVERNING LAW

6. To accomplish the objective of the CWA, defined in Section 101(a) of the CWA, 33 U.S.C. § 1251(a), to restore and maintain the chemical, physical and biological integrity of the nation's waters, Section 301(a) of the CWA, 33 U.S.C. § 1311(a), prohibits the discharge of pollutants by any person into waters of the United States except as in compliance with a National Pollutant Discharge Elimination System (NPDES) permit issued pursuant to Section 402 of the CWA, 33 U.S.C. § 1342.
7. Section 402 of the CWA, 33 U.S.C. § 1342, establishes an NPDES Permit Program authorizing the EPA or authorized states to administer the NPDES Permit Program, including the issuance of NPDES permits allowing for the discharge of pollutants, including stormwater, into navigable waters subject to specific terms and conditions. The EPA has granted the State of Tennessee, through the Tennessee Department of Environment and Conservation (TDEC), approval to issue NPDES permits pursuant to Section 402(b) of the CWA.
8. Section 502(12) of the CWA, 33 U.S.C. § 1362(12), defines a "discharge of pollutants" as "any addition of any pollutant to navigable waters from any point source . . ."
9. Section 502(14) of the CWA, 33 U.S.C. § 1362(14), defines "point source" as "any discernible, confined and discrete conveyance, including but not limited to any pipe, ditch, channel, tunnel, conduit [or] discrete fissure . . . from which pollutants are or may be discharged."
10. Section 502(7) of the CWA, 33 U.S.C. § 1362(7), defines "navigable waters" as "the waters of the United States, including the territorial seas."
11. Pursuant to Section 309(g)(1) of the CWA, 33 U.S.C. § 1319(g)(1), and 40 C.F.R. § 22.38(b), the Complainant represents that the State of Tennessee was provided a prior opportunity to consult with the Complainant regarding this matter.
12. Pursuant to Section 309(g)(4)(A) of the CWA, 33 U.S.C. § 1319(g)(4)(A), and 40 C.F.R. § 22.45, Complainant will provide public notice of and reasonable opportunity to comment on the proposed issuance of this CAFO prior to issuance of the Final Order.

IV. FINDINGS OF FACTS

13. On April 15, 2015, Respondent submitted a Notice of Intent (NOI) to TDEC for coverage under the NPDES general permit for treated filter backwash and/or sedimentation basin washwater from its water treatment plant.
14. On October 6, 2015, Respondent submitted a revised Notice of Intent to TDEC for coverage under the NPDES general permit for treated filter backwash and/or sedimentation basin washwater from its water treatment plant. The NOI was revised to correct typographical errors, change the frequency of filter backwash, and add a description of the on-site wastewater treatment process.

15. On October 9, 2015, TDEC issued NPDES No. TN0061191 (Permit) to the Respondent which provides Notice of Coverage under General Permit TNG640000 for Discharges of Filter Backwash and Sedimentation Basin Washwater from Water Treatment Plants (WTP) into navigable waters subject to specific terms and conditions. Under this Permit, the WTP is permitted to discharge “pollutants” from a “point source” into Mulberry Creek, from Good Branch, which is a “navigable water” as defined at Section 502(7) of the CWA, 33 U.S.C. § 1362(7). The Permit became effective on October 9, 2015 and expires on August 19, 2020.
16. The Permit includes a requirement, Condition 6.1, which requires instantaneous flow measurement readings.
17. The Permit includes a requirement, Condition 7.2.2, which requires the Respondent to follow the sampling methods and procedures set forth in 40 CFR Part 136.
18. The Permit includes a requirement, Condition 7.3, which requires the Respondent to keep appropriate records for sampling under the NPDES Permit.
19. The Permit includes a requirement, Condition 5.1, which requires the Respondent to comply with effluent limitations and monitoring requirements including total residual chlorine and pH. Permit Condition 5.1 also requires monthly instantaneous monitoring and reporting of flow.
20. The EPA conducted a Compliance Evaluation Inspection (CEI) of the facility on November 19, 2019 and mailed a copy of its inspection report to the Respondent on December 17, 2019. The purpose of the inspection was to assess the Respondent’s compliance with its Permit and the CWA.
21. The EPA issued a Notice of Potential Violation (NOPV) to the Respondent on April 6, 2020.
22. On April 22, 2020, Respondent met with EPA to discuss the potential violations cited in the NOPV and Respondent did not dispute the findings. On May 12, 2020, Respondent submitted to the EPA a letter documenting the actions taken to address each of the violations.

V. ALLEGED VIOLATIONS

23. At all times relevant to this action, Respondent is a “person” within the meaning of Section 502(5) of the CWA, 33 U.S.C. § 1362(5).
24. At all times relevant to this action, the Respondent owned and/or operated the Facility.
25. Based on the CEI and review of additional information, the Respondent has violated Section 301 of the CWA, 33 U.S.C. § 1311, due to Respondent’s failure to comply with the Permit and the CWA implementing regulations. Specifically, the EPA alleges the following violations:
 - (a) At the time of the CEI, the Respondent was not measuring the flow from the NPDES permitted outfall, which is required by Permit Condition 6.1 to be measured as an instantaneous measurement once per month. In the Respondent’s Discharge Monitoring Reports, concerning flow, the Respondent was reporting the volume of backwash water

used, which is not equivalent to the outfall flow because it doesn't include the other three (3) sources of water that are also being discharged to the Basin. Since no flow measurement was being taken at the outfall, this also does not meet the requirement that the flow measurement be taken as an instantaneous reading at the NPDES outfall. This is a violation of Permit Conditions 5.1: Effluent Limitations and Permit Condition 6.1: Sample Types. Both Permit Conditions require instantaneous flow measurement readings be taken at the outfall.

- (b) At the time of the CEI, the Respondent was not following the sampling methods and procedures set forth in 40 CFR Part 136. Specifically, the total residual chlorine and pH samples are required to be analyzed within 15 minutes of being collected. In this case, the sample was being collected during the workday and left to sit until the workday ended, at which time it was taken to the lab to be analyzed. In addition, the total suspended solids and settleable solids samples were not being refrigerated at less than or equal to 6 degrees Celsius during storage. The Respondent's failure to follow the sampling procedures set forth in 40 CFR Part 136 is a violation of Permit Condition 7.2.2: Test Procedures.
- (c) At the time of the CEI, the Respondent was not keeping complete records for sampling. Specifically, the time of the sample was not being recorded, and a chain of custody for the sample was not being maintained. This is a violation of Permit Condition 7.3: Recording of Results.
- (d) The Respondent violated the effluent limitations set forth in Permit Condition 5.1, as self-reported by the Respondent in its monthly Discharge Monitoring Reports. Specifically, the respondent reported one (1) violation of the pH permit limitation and 37 violations of the total residual chlorine (TRC) permit limitations over the past five (5) years.

VI. STIPULATIONS

- 26. The issuance of this CAFO simultaneously commences and concludes this proceeding. 40 C.F.R. § 22.13(b).
- 27. For the purpose of this proceeding, as required by 40 C.F.R. § 22.18(b)(2), Respondent:
 - (a) admits that EPA has jurisdiction over the subject matter alleged in this CAFO;
 - (b) neither admits nor denies the factual allegations set forth in Section IV (Findings of Facts) of this CAFO;
 - (c) consents to the assessment of a civil penalty as stated below;
 - (d) consents to the conditions specified in this CAFO;
 - (e) waives any right to contest the allegations set forth in Section V (Alleged Violations) of this CAFO; and
 - (f) waives its rights to appeal the Final Order accompanying this CAFO.

28. For the purpose of this proceeding, Respondent:

- (a) agrees that this CAFO states a claim upon which relief may be granted against Respondent;
- (b) acknowledges that this CAFO constitutes an enforcement action for purposes of considering Respondent's compliance history in any subsequent enforcement actions;
- (c) waives any rights it may possess at law or in equity to challenge the authority of EPA to bring a civil action in a United States District Court to compel compliance with the CAFO, and to seek an additional penalty for such noncompliance, and agrees that federal law shall govern in any such civil action;
- (d) waives any right it may have pursuant to 40 C.F.R. § 22.8 to be present during any discussions with, or to be served with and reply to, any memorandum or communication addressed to EPA officials where the purpose of such discussion, memorandum, or communication is to persuade such official to accept and issue this CAFO; and
- (e) agrees to comply with the terms of this CAFO.

29. In accordance with 40 C.F.R. § 22.5, the individuals named in the certificate of service are authorized to receive service related to this proceeding and the parties agree to receive service by electronic means at the following valid email addresses: Jones.Laurie@epa.gov and Jaikaran.Banca@epa.gov for the EPA and rsells.mud@gmail.com for the Respondent.

30. The parties acknowledge and agree that this CAFO is subject to the requirements of 40 C.F.R. § 22.45(c)(4), which provides a right to petition to set aside a proposed CAFO based on comments received during the public comment period.

VII. TERMS OF PAYMENT

31. Respondent consents to the payment of a civil penalty, which was calculated in accordance with the Act, in the amount of **\$6,300**, which is to be paid within thirty (30) calendar days of the Effective Date of this CAFO.

32. Payment(s) shall be made by cashier's check, certified check, by electronic funds transfer (EFT), or by Automated Clearing House (ACH) (also known as REX or remittance express). If paying by check, the check shall be payable to: Treasurer, United States of America, and the Facility name and docket number for this matter shall be referenced on the face of the check. If Respondent sends payment by the U.S. Postal Service, the payment shall be addressed to:

United States Environmental Protection Agency
Fines and Penalties
Cincinnati Finance Center
P.O. Box 979077
St. Louis, Missouri 63197-9000

If Respondent sends payment by non-U.S. Postal express mail delivery, the payment shall be sent to:

U.S. Bank
Government Lockbox 979077
U.S. EPA Fines & Penalties
1005 Convention Plaza
Mail Station: SL-MO-C2-GL
St. Louis, Missouri 63101
Contact Number: (314) 425-1819

If paying by EFT, Respondent shall transfer the payment to:

Federal Reserve Bank of New York
ABA: 021030004
Account Number: 68010727
SWIFT address: FRNYUS33
33 Liberty Street
New York, New York 10045
Field Tag 4200 of the Fedwire message should read:
“D 68010727 Environmental Protection Agency”

If paying by ACH, Respondent shall remit payment to:

US Treasury REX / Cashlink ACH Receiver
ABA: 051036706
Account Number: 310006, Environmental Protection Agency
CTX Format Transaction Code 22 – checking
Physical location of US Treasury facility:
5700 Rivertech Court
Riverdale, Maryland 20737
Contact: Craig Steffen, (513) 487-2091
REX (Remittance Express): 1-866-234-5681

33. Respondent shall send proof of payment/, within 24 hours of payment of the civil penalty, to:

(a) Regional Hearing Clerk
U.S. EPA Region 4
61 Forsyth Street, S.W.
Atlanta, Georgia 30303-8960

and

(b) Laurie Jones, Water Enforcement Branch
U.S. EPA Region 4
61 Forsyth Street, S.W.

Atlanta, Georgia 30303-8960
Jones.Laurie@epa.gov

34. "Proof of payment" means, as applicable, a copy of the check, confirmation of credit card or debit card payment, confirmation of wire or automated clearinghouse transfer, and any other information required to demonstrate that payment has been made according to EPA requirements, in the amount due, and identified with the Facility name and "Docket No. CWA-04-2020-0312(b)."
35. Pursuant to 33 U.S.C. § 1319(g)(9), if Respondent fails to timely pay any portion of the penalty assessed under this CAFO, EPA may recover, in addition to the amount of the unpaid penalty assessed, the following amounts on any portion overdue:
- (a) Interest. Interest will begin to accrue on the civil penalty from the Effective Date of this CAFO. If the civil penalty is paid within 30 days of the Effective Date of this CAFO, Interest is waived. However, if the civil penalty is not paid in full within 30 days of the Effective Date of this CAFO, Interest will continue to accrue on any unpaid portion until the unpaid portion of the civil penalty and accrued Interest are paid. Interest will be assessed currently prevailing rates.
 - (b) Non-Payment Penalty. A 20 percent quarterly nonpayment penalty pursuant to 33 U.S.C. § 1319(g)(9); and
 - (c) Attorneys' Fees and Costs of Collection. The United States' attorneys' fees and costs of collection.
36. In addition to what is stated in the prior Paragraph, if Respondent fails to timely pay any portion of the penalty assessed under this CAFO, EPA may:
- (a) refer the debt to a credit reporting agency or a collection agency, 40 C.F.R. §§ 13.13 and 13.14;
 - (b) collect the debt by administrative offset (i.e., the withholding of money payable by the United States to, or held by the United States for, a person to satisfy the debt the person owes the Government), which includes, but is not limited to, referral to the Internal Revenue Service for offset against income tax refunds, 40 C.F.R. Part 13, Subparts C and H;
 - (c) suspend or revoke Respondent's licenses or other privileges, or suspend or disqualify Respondent from doing business with EPA or engaging in programs EPA sponsors or funds, 40 C.F.R. § 13.17; and/or
 - (d) request that the Attorney General bring a civil action in the appropriate district court to recover the amount assessed, in addition to the amounts described above, pursuant to 33 U.S.C. § 1319(g)(9). In any such judicial action, the validity, amount, and appropriateness of the penalty and of this CAFO shall not be subject to review.

37. Penalties paid pursuant to this CAFO shall not be deductible for purposes of federal taxes.
38. Effective upon signature of this CAFO by the Respondent, the Respondent agrees that the time period commencing on the date of its signature and ending on the Effective Date shall not be included in computing the running of any statute of limitations potentially applicable to any action brought by EPA related to the matters addressed in this CAFO and that, in any action brought by EPA related to the matters addressed, the Respondent will not assert, and may not maintain, any defense or claim based upon principles of statute of limitations, waiver, laches, estoppel, or other defense based on the passage of time during such period.

VIII. EFFECT OF CAFO

39. In accordance with 40 C.F.R. § 22.18(c), Respondent's full compliance with this CAFO shall only resolve Respondent's liability for federal civil penalties for the violations and facts specifically alleged above.
40. Full payment of the civil penalty, as provided in Section VII (Terms of Payment), shall not in any case affect the right of EPA or the United States to pursue appropriate injunctive or other equitable relief or criminal sanctions for any violations of law. 40 C.F.R. § 22.18(c).
41. Nothing in this CAFO shall relieve Respondent of the duty to comply with all applicable provisions of the Act and other federal, state, or local laws or statutes, nor shall it restrict EPA's authority to seek compliance with any applicable laws or regulations, nor shall it be construed to be a ruling on, or determination of, any issue related to any federal, state, or local permit, except as expressly provided herein.
42. Nothing herein shall be construed to limit the power of EPA to undertake any action against Respondent or any person in response to conditions that may present an imminent and substantial endangerment as provided under the Act.
43. The terms, conditions, and compliance requirements of this CAFO may not be modified or amended except upon the written agreement of both Parties, and approval of the Regional Judicial Officer.
44. The provisions of this CAFO shall apply to and be binding upon Respondent and its officers, directors, employees, agents, trustees, servants, authorized representatives, successors, and assigns.
45. Any change in the legal status of the Respondent, or change in ownership, partnership, corporate or legal status relating to the Facility, will not in any way alter Respondent's obligations and responsibilities under this CAFO.
46. By signing this Consent Agreement, Respondent acknowledges that this CAFO will be available to the public and agrees that this CAFO does not contain any confidential business information or personally identifiable information.
47. By signing this Consent Agreement, the Complainant and the undersigned representative of Respondent each certify that he or she is fully authorized to execute and enter into the terms and

conditions of this CAFO and has the legal capacity to bind the party he or she represents to this CAFO.

48. By signing this Consent Agreement, both Parties agree that each party's obligations under this CAFO constitute sufficient consideration for the other party's obligations.
49. By signing this Consent Agreement, Respondent certifies that the information it has supplied concerning this matter was at the time of submission, and continues to be, true, accurate, and complete for each such submission, response, and statement. Respondent acknowledges that there are significant penalties for submitting false or misleading information, including the possibility of fines and imprisonment for knowing submission of such information, under 18 U.S.C. § 1001.
50. EPA also reserves the right to revoke this CAFO and settlement penalty if and to the extent that EPA finds, after signing this CAFO, that any information provided by Respondent was materially false or inaccurate at the time such information was provided to EPA. If such false or inaccurate material was provided, EPA reserves the right to assess and collect any and all civil penalties for any violation described herein. EPA shall give Respondent notice of its intent to revoke, which shall not be effective until received by Respondent in writing.
51. Unless specifically stated otherwise in this CAFO, each party shall bear its own attorney's fees, costs, and disbursements incurred in this proceeding.
52. It is the intent of the parties that the provisions of this CAFO are severable. If any provision or authority of this CAFO or the application of this CAFO to any party or circumstances is held by any judicial or administrative authority to be invalid or unenforceable, the application of such provisions to other parties or circumstances and the remainder of the CAFO shall remain in force and shall not be affected thereby.

IX. EFFECTIVE DATE

53. This CAFO shall become effective after execution of the Final Order by the Regional Judicial Officer, on the date of filing with the Hearing Clerk.

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Complainant and Respondent will Each Sign on Separate Pages.

The foregoing Consent Agreement In the Matter of [Metro Utility Department, Docket No. CWA-04-2020-0312(b, is Hereby Stipulated, Agreed, and Approved for Entry.

FOR RESPONDENT:



Signature

7/7/20

Date

Printed Name: Russell Selk

Title: General Manager

Address: P.O. Box 503 Lynchburg TN 37352

The foregoing Consent Agreement In the Matter of **Metro Utility Department, Docket No. CWA-04-2020-0312(b)**, is Hereby Stipulated, Agreed, and Approved for Entry.

FOR COMPLAINANT:

Mary Jo Bragan
Water Enforcement Branch
Enforcement and Compliance Assurance Division
U.S. Environmental Protection Agency, Region 4

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 4

In the Matter of:

Metro Utility Department

Respondent.

Docket No. **CWA-04-2020-0312(b)**

FINAL ORDER

The Regional Judicial Officer is authorized to ratify this Consent Agreement which memorializes a settlement between Complainant and Respondent. 40 C.F.R. §22.4(b) and §22.18(b)(3). The foregoing Consent Agreement is, therefore, hereby approved, ratified and incorporated by reference into this Final Order in accordance with the *Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits*, 40 C.F.R. Part 22.

The Respondent is hereby ORDERED to comply with all of the terms of the foregoing Consent Agreement effective immediately upon filing of this Consent Agreement and Final Order with the Regional Hearing Clerk. This Final Order disposes of this matter pursuant to 40 C.F.R. §§ 22.18 and 22.31.

BEING AGREED, IT IS SO ORDERED.

Tanya Floyd
Regional Judicial Officer

CERTIFICATE OF SERVICE

I certify that the foregoing "Consent Agreement" and "Final Order," in the Matter of **Metro Utility Department, Docket No. CWA-04-2020-0312(b)**, were filed and copies of the same were emailed to the parties as indicated below.

Via email to all parties:

To Respondent: Mr. Russell Sells, Metro Moore Utility Manager
Metro Moore Water Treatment Plant
P.O. Box 503
Lynchburg, Tennessee 37352
931-307-6476
rsells.mud@gmail.com

To EPA: Laurie Jones,
Enforcement and Compliance Assurance Division
Water Enforcement Branch
(404) 562-9201
Jones.Laurie@epa.gov

and

Bianca N. Jaikaran, Associate Regional Counsel
Office of Regional Counsel
(404) 562-9680
Jaikaran.Bianca.@epa.gov
U.S. Environmental Protection Agency, Region 4
61 Forsyth Street, S.W.
Atlanta, Georgia 30303-8960

DATE

Patricia A. Bullock, Regional Hearing Clerk
U.S. EPA Region 4
61 Forsyth Street, S.W.
Atlanta, Georgia 30303-8960